

Libra Summer School and Workshop 2024

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Defining Hamiltonians in Libra

Wavefunction and selection of representation

Akimov, A. V. Fundamentals of Trajectory-Based Methods for Nonadiabatic Dynamics. In *Comprehensive Computational Chemistry*; Elsevier, 2024; pp 235–272. <https://doi.org/10.1016/B978-0-12-821978-2.00034-9>.

$|\Psi\rangle$ Abstract wavefunction

$\{|\mathbf{r}\rangle: \hat{\mathbf{r}}|\mathbf{r}\rangle = \mathbf{r}|\mathbf{r}\rangle\}$ Position states (Hilbert space)

$\{|\mathbf{k}\rangle: \hat{\mathbf{k}}|\mathbf{k}\rangle = \mathbf{k}|\mathbf{k}\rangle\}$ Momentum states (Hilbert space)

$\Psi(\mathbf{r}) = \langle \mathbf{r} | \Psi \rangle$ Wavefunction in a **position representation** – representation in the basis of position states

$$1 = \int d\mathbf{r}' |\mathbf{r}'\rangle \langle \mathbf{r}'| \quad \text{Complete basis}$$

Indeed

$$|\Psi\rangle = \int d\mathbf{r}' |\mathbf{r}'\rangle \langle \mathbf{r}' | \Psi \rangle = \int d\mathbf{r}' |\mathbf{r}'\rangle \Psi(\mathbf{r}')$$

$\Psi(\mathbf{r}')$ is essentially an expansion coefficient in the basis of coordinate states $\{|\mathbf{r}\rangle\}$
Can be regarded as DVR (grid representation of the wavefunction)

$\Psi(\mathbf{k}) = \langle \mathbf{k} | \Psi \rangle$ Likewise, the **momentum representation** of a wavefunction

$$\Psi(\mathbf{r}) = \langle \mathbf{r} | \Psi \rangle; |\mathbf{r}\rangle, |\Psi\rangle \in \mathcal{H}_r \text{ only electrons}$$

$$\Psi(\mathbf{R}) = \langle \mathbf{R} | \Psi \rangle; |\mathbf{R}\rangle, |\Psi\rangle \in \mathcal{H}_R \text{ only nuclei}$$

$$\Psi(\mathbf{r}, \mathbf{R}) = \langle \mathbf{r}, \mathbf{R} | \Psi \rangle; |\Psi\rangle \in \mathcal{H}_r \otimes \mathcal{H}_R \text{ both electrons and nuclei}$$

Different Hilbert spaces:

$\Psi(\mathbf{r}) = \langle \mathbf{R} | \Psi \rangle; |\Psi\rangle \in \mathcal{H}_r \otimes \mathcal{H}_R$ projection on $|\mathbf{R}\rangle \in \mathcal{H}_R$; $\Psi(\mathbf{r}; \mathbf{R}) \in \mathcal{H}_r$ operator of electronic DOF (electronic coordinate operator), but a function of nuclear DOF - \mathbf{R}

Working in Different Hilbert Spaces: Exact-Factorization Example

Han, D.; Akimov, A.V. *J. Chem. Theory Comput.* **2024**, 20, 5022–5042

$$\text{electron-nuclear state } |\Psi(t)\rangle \in \mathcal{H}_{r \times R} = \mathcal{H}_r \otimes \mathcal{H}_R$$

↑ ↑
| \Psi(t) \rangle = | \chi(t), \Phi(t) \rangle
| R \rangle \in \mathcal{H}_R
| r \rangle \in \mathcal{H}_r

Electronic Hilbert space
Electronic Hilbert space

Using the resolution-of-identity: $|\Psi(t)\rangle = \int d\mathbf{R} |\mathbf{R}\rangle \langle \mathbf{R}| \Psi(t) \rangle = \int d\mathbf{R} |\mathbf{R}\rangle \langle \mathbf{R}| \chi(t), \Phi(t) \rangle = \int d\mathbf{R} |\mathbf{R}\rangle \langle \mathbf{R}| \chi(t) \rangle |\Phi_{\mathbf{R}}(t)\rangle$

$$\text{marginal nuclear state } |\chi(t)\rangle \in \mathcal{H}_R$$

conditional electronic state $|\Phi_{\mathbf{R}}(t)\rangle \in \mathcal{H}_r$

Nuclear wavefunction in position representation: $\chi(\mathbf{R}, t) = \langle \mathbf{R} | \chi(t) \rangle$

Electronic wavefunction for fixed nuclear geometry: $\Phi(\mathbf{r}, t; \mathbf{R}) = \langle \mathbf{r} | \Phi_{\mathbf{R}}(t) \rangle$

$$|\Psi(t)\rangle = \int d\mathbf{R} |\mathbf{R}\rangle \chi(\mathbf{R}, t) |\Phi_{\mathbf{R}}(t)\rangle \in \mathcal{H}_{r \times R}$$

$$\begin{aligned} \text{molecular state } |\Psi(\mathbf{R}, t)\rangle & \quad |\Psi(\mathbf{R}', t)\rangle = \langle \mathbf{R}' | \Psi(t) \rangle = \int d\mathbf{R} \langle \mathbf{R}' | \mathbf{R} \rangle \chi(\mathbf{R}, t) |\Phi_{\mathbf{R}}(t)\rangle = \int d\mathbf{R} \delta(\mathbf{R}' - \mathbf{R}) \chi(\mathbf{R}, t) |\Phi_{\mathbf{R}}(t)\rangle \\ & \quad = \chi(\mathbf{R}', t) |\Phi_{\mathbf{R}'}(t)\rangle \in \mathcal{H}_r \end{aligned}$$

Shorthand notation. Adiabatic and Diabatic Representations

$$\psi_i(\mathbf{r}) = \langle \mathbf{r}|i\rangle = \langle \mathbf{r}|\psi_i\rangle \text{ electronic coordinates, i-th basis state}$$

Shorthand notation for the entire basis: $|\Psi\rangle = (|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_N\rangle)$

Matrix elements
(scalars)

$$\longrightarrow A_{ij} = \langle \psi_i | \hat{A} | \psi_j \rangle \leftrightarrow A = \langle \Psi | \hat{A} | \Psi \rangle$$

Matrix

Basis:

Adiabatic (Hamiltonian is diagonal):
 $\langle \psi_{adi,i} | \hat{H}_{el} | \psi_{adi,j} \rangle = 0, \forall i \neq j$

$$|\Psi_{adi}\rangle = |\Psi_{dia}\rangle U$$

Diabatic (NACs are exactly zero):
 $\langle \psi_{dia,i} | \nabla_{\mathbf{R}} | \psi_{dia,j} \rangle = 0, \forall \mathbf{R}$

$$H_{adi} = \langle \Psi_{adi} | \hat{H}_{el} | \Psi_{adi} \rangle$$

Hamiltonians

$$H_{dia} = \langle \Psi_{dia} | \hat{H}_{el} | \Psi_{dia} \rangle$$

Transformation between bases

$$H_{dia}U = SUH_{adi}$$

$$H_{adi} = U^+ H_{dia} U = \tilde{H}_{dia}$$

Wavefunction should be invariant
w.r.t. the basis representation

$$|\Psi(t)\rangle = |\Psi_{adi}(t)\rangle C_{adi}(t) = |\Psi_{dia}(t)\rangle C_{dia}(t)$$

TD-SE in the Shorthand notation

Wavefunction should be invariant
w.r.t. the basis representation

$$|\Psi(t)\rangle = |\psi_{adi}(t)\rangle C_{adi}(t) = |\psi_{dia}(t)\rangle C_{dia}(t)$$

$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = \hat{H} |\Psi(t)\rangle$$

$$|\psi\rangle = (|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_N\rangle)$$

$$C = (c_1, c_2, \dots, c_N)^T$$

$$i\hbar \frac{\partial}{\partial t} |\psi_{rep}(t)\rangle C_{rep} + i\hbar |\psi_{rep}(t)\rangle \frac{\partial}{\partial t} C_{rep} = \hat{H} |\psi_{rep}(t)\rangle C_{rep}$$

Projecting:

$$i\hbar \langle \psi_{rep}(t) | \frac{\partial}{\partial t} |\psi_{rep}(t)\rangle C_{rep} + i\hbar \langle \psi_{rep}(t) | \psi_{rep}(t) \rangle \frac{\partial}{\partial t} C_{rep} = \langle \psi_{rep}(t) | \hat{H} | \psi_{rep}(t) \rangle C_{rep}$$

$$i\hbar S_{rep} \frac{\partial}{\partial t} C_{rep} = \left[\langle \psi_{rep}(t) | \hat{H} | \psi_{rep}(t) \rangle - i\hbar \langle \psi_{rep}(t) | \frac{\partial}{\partial t} | \psi_{rep}(t) \rangle \right] C_{rep}$$

Implementation in Libra classes

Wavefunction language

$$|\Psi(t)\rangle = |\psi_{adi}(t)\rangle \mathbf{C}_{adi}(t) = |\psi_{dia}(t)\rangle \mathbf{C}_{dia}(t)$$

Density matrix language

$$\hat{\rho} = |\Psi\rangle\langle\Psi|$$

$$P_{adi} = \langle \psi_{adi} | \hat{\rho} | \psi_{adi} \rangle = \langle \psi_{adi} | \psi_{adi} \rangle \mathbf{C}_{adi} \mathbf{C}_{adi}^+ \langle \psi_{adi} | \psi_{adi} \rangle = \mathbf{I} \mathbf{C}_{adi} \mathbf{C}_{adi}^+ \mathbf{I} = \mathbf{C}_{adi} \mathbf{C}_{adi}^+$$

$$P_{dia} = \langle \psi_{dia} | \hat{\rho} | \psi_{dia} \rangle = \langle \psi_{dia} | \psi_{dia} \rangle \mathbf{C}_{dia} \mathbf{C}_{dia}^+ \langle \psi_{dia} | \psi_{dia} \rangle = \mathbf{S} \mathbf{C}_{dia} \mathbf{C}_{dia}^+ \mathbf{S}$$

dyn_variables

- n_{dia}, n_{adi}, n_{dof}, n_{traj}
- ampl_{dia}, ampl_{adi}
- dm_{adi}, dm_{dia}

So the conversions of the density matrices is:

$$\mathbf{U} \mathbf{P}_{dia} \mathbf{U}^+ = \mathbf{U}^+ \mathbf{S} \mathbf{C}_{dia} \mathbf{C}_{dia}^+ \mathbf{S} \mathbf{U} = \mathbf{C}_{adi} \mathbf{C}_{adi}^+ = \mathbf{P}_{adi} \rightarrow \mathbf{P}_{dia} = \mathbf{U}^{-1} \mathbf{P}_{adi} (\mathbf{U}^{-1})^+ = \mathbf{U}^+ \mathbf{S} \mathbf{P}_{adi} (\mathbf{U}^+ \mathbf{S})^+ = \mathbf{U}^+ \mathbf{S} \mathbf{P}_{adi} \mathbf{S} \mathbf{U}$$

Coefficient transformation:

$$\mathbf{C}_{dia} = \mathbf{U} \mathbf{C}_{adi} \leftrightarrow \mathbf{C}_{adi} = \mathbf{U}^{-1} \mathbf{C}_{dia} \leftrightarrow \mathbf{C}_{adi} = \mathbf{U}^+ \mathbf{S} \mathbf{C}_{dia}$$

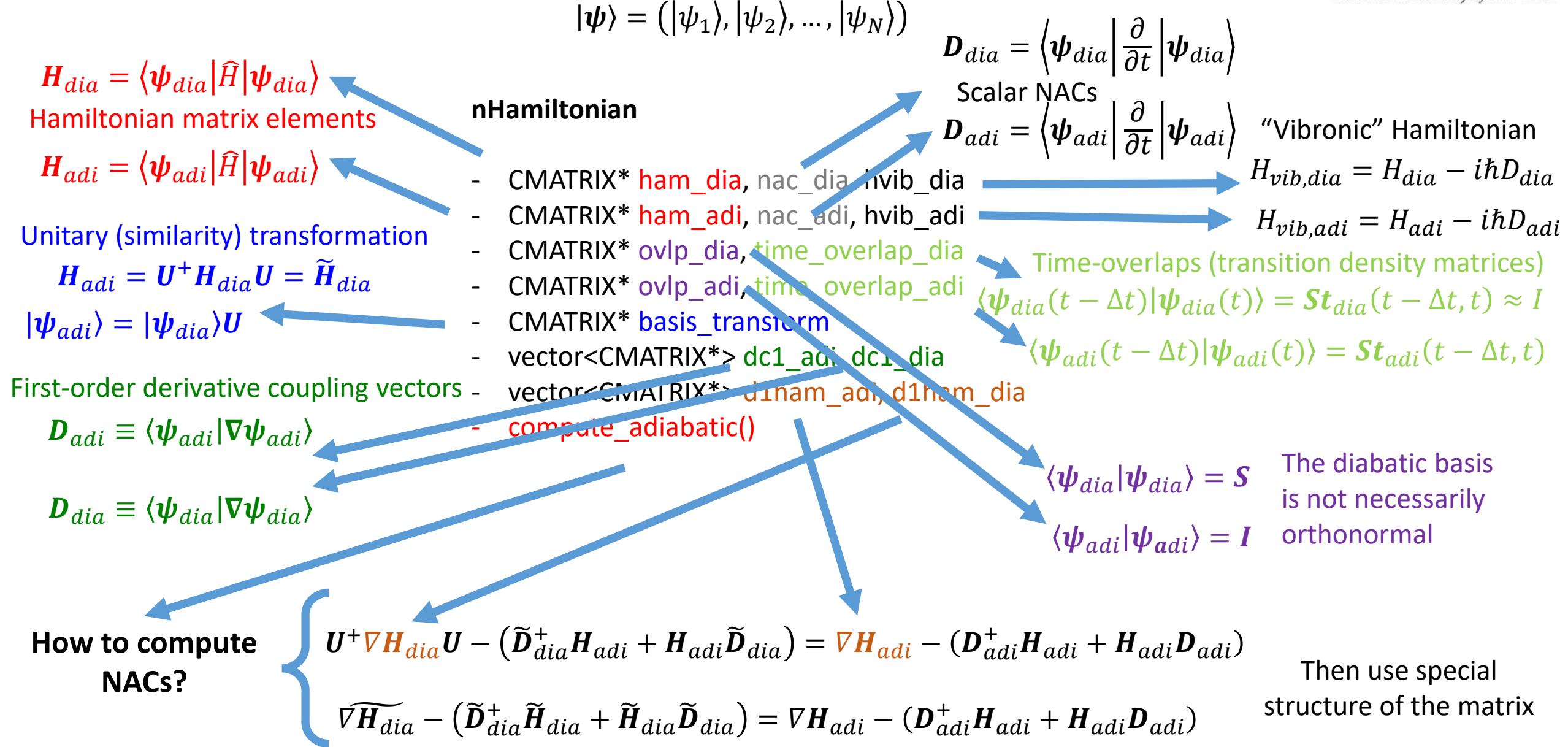
$\mathbf{H}_{dia} \mathbf{U} = \mathbf{S} \mathbf{U} \mathbf{H}_{adi}$ and also computes derivative
couplings and adiabatic gradients

computes \mathbf{H}_{dia} , \mathbf{D}_{dia} , and $\nabla \mathbf{H}_{dia}$ according to given
methods (e.g. Python modules with Hamiltonian models)

nHamiltonian

- ampl_{dia2adi}
- ampl_{adi2dia}
- compute_adiabatic()
- compute_diabatic()

Implementation in the nHamiltonian class



Nonadiabatic Couplings

Properties of the NACs

$$\bar{\mathbf{D}}_{dia}^+ + \bar{\mathbf{D}}_{dia} = \nabla S$$

$$\bar{\mathbf{D}}_{adi} + \bar{\mathbf{D}}_{adi}^+ = \nabla S_{adi} = 0 \rightarrow (D_{adi}^\alpha)^+ = -D_{adi}$$

$$D_{adi}^\alpha = \tilde{D}_{dia}^\alpha + U^+ S \nabla_\alpha U$$

This is a well-known property!

$D_{rep,ij}^\alpha \equiv \langle \psi_{rep,i} | \nabla_\alpha \psi_{rep,j} \rangle$ is a scalar

$\mathbf{D}_{rep,ij} \equiv \langle \psi_{rep,i} | \nabla \psi_{rep,j} \rangle$ understood as a column-vector

$\bar{\mathbf{D}}_{rep} \equiv \langle \psi_{rep} | \nabla \psi_{rep} \rangle$ understood as a vector of matrices $D_{rep}^\alpha = \langle \psi_{rep} | \nabla_\alpha \psi_{rep} \rangle$

Important observations

the equation becomes an identity when $U = I$

$$\widetilde{\nabla_\alpha H_{dia}} - \left((D_{adi}^\alpha)^+ \tilde{H}_{dia} + \tilde{H}_{dia} \tilde{D}_{dia}^\alpha \right) = \nabla_\alpha H_{adi} - \left((D_{adi}^\alpha)^+ H_{adi} + H_{adi} D_{adi}^\alpha \right)$$

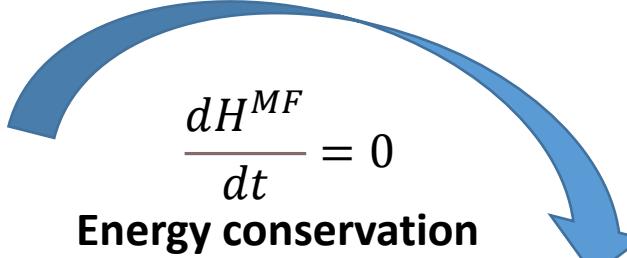
$U^+ \langle \psi_{dia} | \nabla_\alpha H | \psi_{dia} \rangle U$ $\langle \psi_{adi} | \nabla_\alpha H | \psi_{adi} \rangle$

Quantum-Classical Hamiltonian and Ehrenfest forces

$$H^{MF}(\mathbf{R}, \mathbf{P}; \Psi) = \frac{\langle \Psi | H^{qc}(\mathbf{R}, \mathbf{P}) | \Psi \rangle}{\langle \Psi | \Psi \rangle} = \frac{1}{2} \mathbf{P}^T M^{-1} \mathbf{P} + \frac{C_{adi}^+ H_{adi} C_{adi}}{C_{adi}^+ C_{adi}} = \frac{1}{2} \mathbf{P}^T M^{-1} \mathbf{P} + \frac{C_{dia}^+ H_{dia} C_{dia}}{C_{dia}^+ S C_{dia}}$$

TD-SE for the amplitudes

$$i\hbar S \frac{dC_{rep}}{dt} = (H_{rep} - i\hbar d_{rep}) C_{rep}$$



nHamiltonian

- Ehrenfest_energy_adi
- Ehrenfest_energy_dia

$$\dot{\mathbf{R}} = M^{-1} \mathbf{P}$$

$$\dot{\mathbf{P}} = f^{MF}(\mathbf{R}, \Psi_{rep})$$

Enforcing the “classical” form of equations of motion for nuclear DOFs

$$f_n^{MF} \equiv f_{n,adi}^{MF} = \frac{1}{C_{adi}^+ C_{adi}} C_{adi}^+ F_{adi,n}^{HF} C_{adi} = f_{n,dia}^{MF} = \frac{1}{C_{dia}^+ S C_{dia}} C_{dia}^+ F_{dia,n}^{HF} C_{dia}$$

nHamiltonian

- Ehrenfest_forces_adi
- Ehrenfest_forces_dia
- Ehrenfest_forces_tens_adi
- Ehrenfest_forces_tens_dia

$$F_{adi,n}^{HF} = -\langle \Psi_{adi} | \nabla_n H | \Psi_{adi} \rangle = [-\nabla_n H_{adi} + D_{adi,n}^+ H_{adi} + H_{adi} D_{adi,n}]$$

$$F_{dia,n}^{MF} = -\langle \Psi_{dia} | \nabla_n H | \Psi_{dia} \rangle = [-\nabla_n H_{dia} + D_{dia,n}^+ S^{-1} H_{dia} + H_{dia} S^{-1} D_{dia,n}]$$

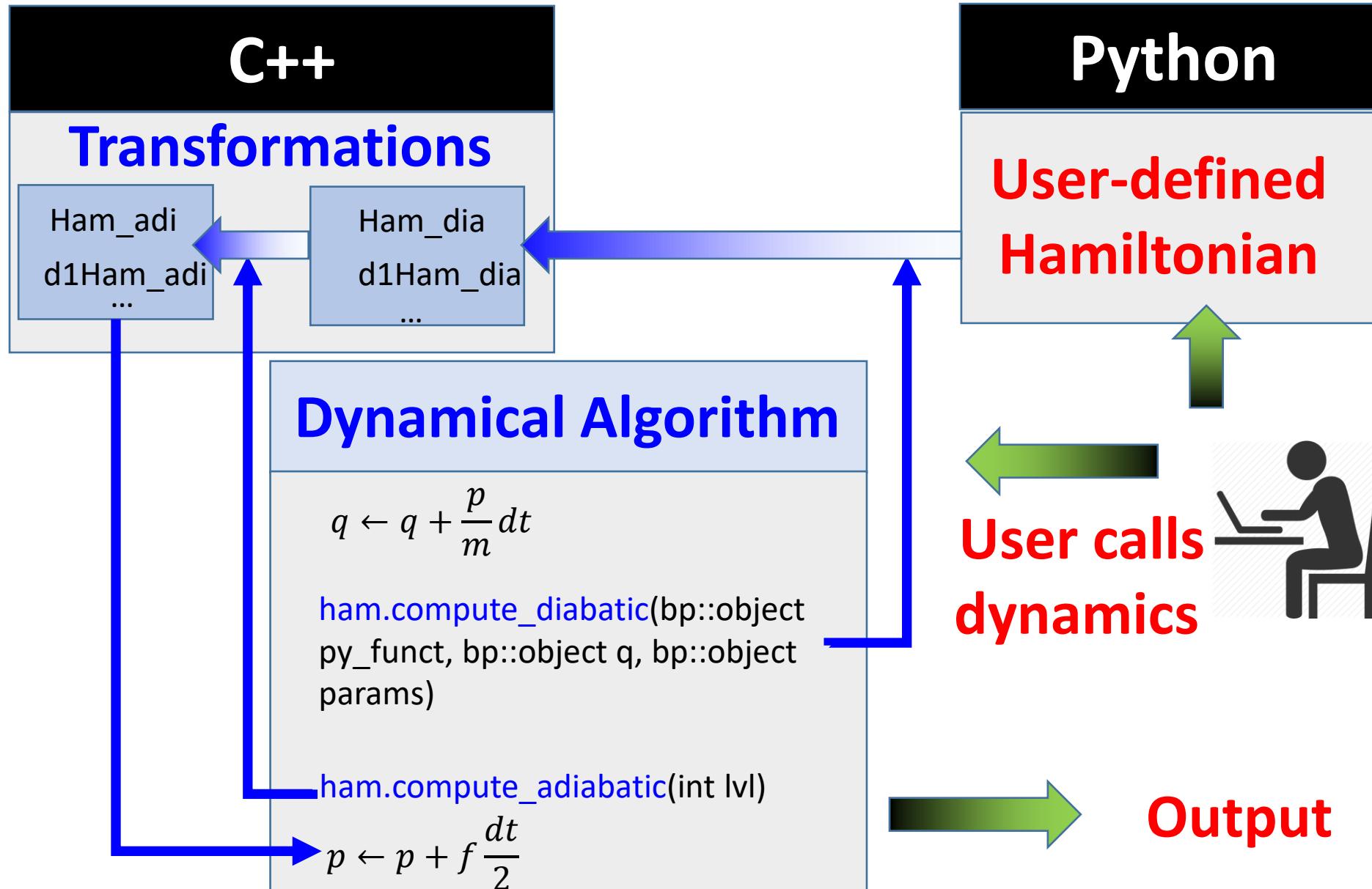
More on the n Hamiltonian class.

Making Interfaces

How `compute_dynamics` works



University at Buffalo
The State University of New York



Different ways of computing matrix elements. Example of H_{dia}^{vib}

Blue = Required Input

Green = Output

Green with D = Can be set up directly via Python function call

Function	Q	P	H_{dia}	D_{dia}	d_{dia}	H_{dia}^{vib}
nHamiltonian::compute_diabatic(bp::object py_func ...)	D		D	D	D	D
nHamiltonian::compute_nac_dia(...)		D		D	D	
nHamiltonian::compute_hvib_dia(...)			D		D	D

Different ways of computing matrix elements. Example of H_{adi}^{vib}

Function	Q	P	S	H_{dia}	∇H_{dia}	D_{dia}	U	H_{adi}	∇H_{adi}	D_{adi}	d_{adi}	H_{adi}^{vib}
nHamiltonian::compute_diabatic(bp::object py_funct ...)	D		D	D	D	D						
nHamiltonian::compute_adiabatic(...)		D	D	D	D							D
nHamiltonian::compute_adiabatic(bp::object py_funct ...)	D							D	D	D	D	D
nHamiltonian::compute_nac_adi(...)		D							D	D		
nHamiltonian::compute_hvib_adi(...)							D		D	D	D	D

nHamiltonian class as a hierarchical data type to handle multiple trajectories

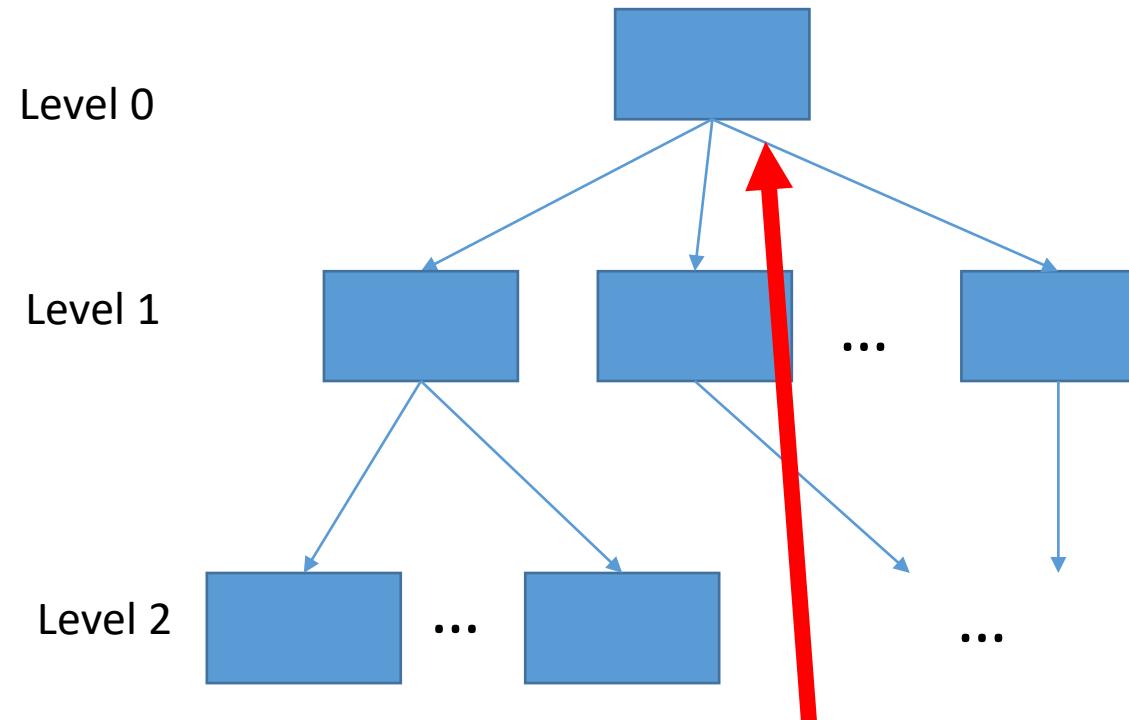
nHamiltonian

- level
- id
- nHamiltonian* parent
- vector<nHamiltonian*> children

- nnucl, nadi, ndia

- CMATRIX* ham_dia, nac_dia, hvib_dia
- CMATRIX* ham_adi, nac_adi, hvib_adi
- CMATRIX* ovlp_dia, time_overlap_dia
- CMATRIX* ovlp_adi, time_overlap_adi
- CMATRIX* basis_transform
- vector<CMATRIX*> dc1_adi, dc1_dia
- vector<CMATRIX*> d1ham_adi, d1ham_dia

- ampl_dia2adi
- ampl_adi2dia

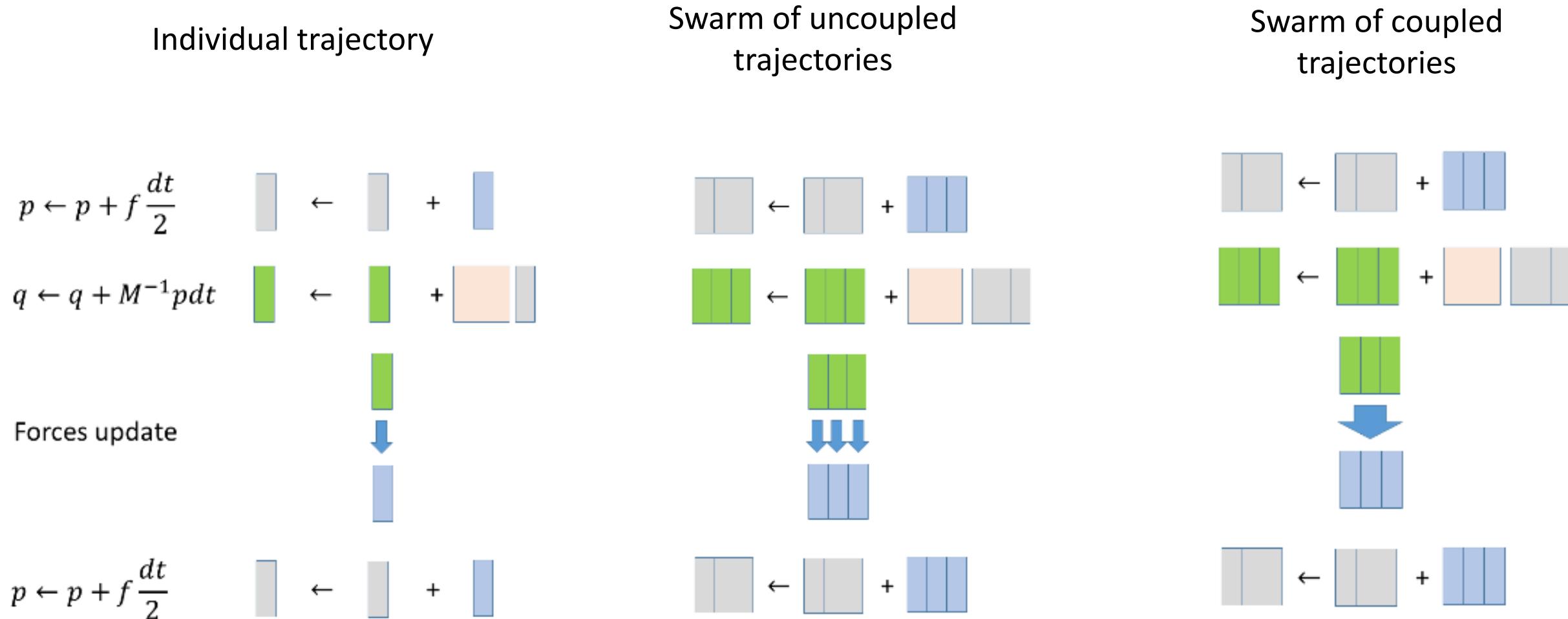


int entanglement_opt

A selector of a method to couple the trajectories in this ensemble.

- 0: no coupling [default]
- 1: ETHD
- 2: ETHD3 (experimental)
- 22: another flavor of ETHD3 (experimental)

Packing variables for multiple trajectories



Keep the Dynamical Workflow Fixed

```
for i in range(500):
    propagate_el(Cdia, Cadi, Hvib, Sdia, 0.5*dt, rep)
    p = p + 0.5*f*dt
    q = q + dt*p/m
    compute_model(model, Hdia, Sdia, d1ham_dia, dc1_dia, q, params)
    ham.compute_adiabatic(1);
    f = compute_frc(ham, Cdia, Cadi, rep)
    p = p + 0.5*f*dt
    Hvib = compute_Hvib(Hdia, Hadi, dc1_dia, dc1_adi, p, m, rep)
    propagate_el(Cdia, Cadi, Hvib, Sdia, 0.5*dt, rep)
    Etot = compute_etot(ham, p, Cdia, Cadi, m, rep)
```

User defines how to
run the dynamical simulation

User defines what function to use to compute entries in the
Hamiltonian object (diabatic/adiabatic Ham, overlap matrix, derivatives,
etc.) - NEXT

Example: Model Calculations

```
def model2(q, params):  
  
    obj = tmp()  
    obj.ham_dia = CMATRIX(2,2);  obj.ovlp_dia = CMATRIX(2,2);  
    obj.d1ham_dia = CMATRIXList(); obj.d1ham_dia.append( CMATRIX(2,2))  
    obj.dc1_dia = CMATRIXList(); obj.dc1_dia.append( CMATRIX(2,2))  
  
    x = q.get(0)  
    x0,k,D,V = params["x0"], params["k"], params["D"], params["V"]  
  
    obj.ovlp_dia.set(0,0, 1.0+0.0j); obj.ovlp_dia.set(0,1, 0.0+0.0j);  
    obj.ovlp_dia.set(1,0, 0.0+0.0j); obj.ovlp_dia.set(1,1, 1.0+0.0j);  
  
    obj.ham_dia.set(0,0, k*x*x*(1.0+0.0j) ); obj.ham_dia.set(0,1, V*(1.0+0.0j));  
    obj.ham_dia.set(1,0, V*(1.0+0.0j));      obj.ham_dia.set(1,1, (k*(x-x0)**2 + D)*(1.0+0.0j));  
  
    for i in [0]:  
        obj.d1ham_dia[i].set(0,0, 2.0*k*x*(1.0+0.0j) ); obj.d1ham_dia[i].set(0,1, 0.0+0.0j);  
        obj.d1ham_dia[i].set(1,0, 0.0+0.0j);          obj.d1ham_dia[i].set(1,1,2.0*k*(x-x0)*(1.0+0.0j));  
  
        obj.dc1_dia[i].set(0,0, 0.0+0.0j); obj.dc1_dia[i].set(0,1,-0.1+0.0j);  
        obj.dc1_dia[i].set(1,0, 0.1+0.0j); obj.dc1_dia[i].set(1,1, 0.0+0.0j);  
  
    return obj
```

Initialize Python objects

Set matrix elements according to
your model

Example: Atomistic Calculations

```
def model_atomistic(q, params, indx):  
  
    natoms = params["natoms"]; ndof = q.num_of_rows; ndia = params[ "ndia" ]  
    params[ "output_filename" ] = "detailed.out"  
  
    obj = tmp()  
    obj.ham_dia = CMATRIX(1,1);  
    obj.ovlp_dia = CMATRIX(1,1);      obj.ovlp_dia.set(0,0, 1.0+0.0j)  
    obj.d1ham_dia = CMATRIXList();  
    for i in xrange(ndof):  
        obj.d1ham_dia.append( CMATRIX(1,1) )  
  
    os.system("mkdir wd/job_"+str(indx))  
    os.system("cp dftb_in.hsd wd/job_"+str(indx) +"#"/dftb_in.hsd")  
    os.chdir("wd/job_"+str(indx))  
  
    create_input.update_coordinates(q, params)  
    os.system("srun %s < dftb_in.hsd > out" % (exe_name) ) # DFTB calculations are run here!  
    dftb_forces = parse_output.get_forces(params)  
    os.chdir("../..")  
  
    for i in xrange(ndof):  
        obj.d1ham_dia[i].set(0,0, dftb_forces[i]*(-1.0+0.0j) )  
        obj.dc1_dia[i].set(0, 0, 0.0+0.0j)  
  
    return obj
```

Initialize Python objects
Prepare and Run external program
Set matrix elements according to your model